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ACR PRACTICE PARAMETER FOR THE PERFORMANCE OF STEREOTACTIC/TOMOSYNTHESIS-GUIDED BREAST INTERVENTIONAL PROCEDURES

PREAMBLE

This document is an educational tool designed to assist practitioners in providing appropriate radiologic care for patients. Practice Parameters and Technical Standards are not inflexible rules or requirements of practice and are not intended, nor should they be used, to establish a legal standard of care¹. For these reasons and those set forth below, the American College of Radiology and our collaborating medical specialty societies caution against the use of these documents in litigation in which the clinical decisions of a practitioner are called into question.

The ultimate judgment regarding the propriety of any specific procedure or course of action must be made by the practitioner in light of all the circumstances presented. Thus, an approach that differs from the guidance in this document, standing alone, does not necessarily imply that the approach was below the standard of care. To the contrary, a conscientious practitioner may responsibly adopt a course of action different from that set forth in this document when, in the reasonable judgment of the practitioner, such course of action is indicated by the condition of the patient, limitations of available resources, or advances in knowledge or technology subsequent to publication of this document. However, a practitioner who employs an approach substantially different from the guidance in this document is advised to document in the patient record information sufficient to explain the approach taken.

The practice of medicine involves not only the science, but also the art of dealing with the prevention, diagnosis, alleviation, and treatment of disease. The variety and complexity of human conditions make it impossible to always reach the most appropriate diagnosis or to predict with certainty a particular response to treatment. Therefore, it should be recognized that adherence to the guidance in this document will not assure an accurate diagnosis or a successful outcome. All that should be expected is that the practitioner will follow a reasonable course of action based on current knowledge, available resources, and the needs of the patient to deliver effective and safe medical care. The sole purpose of this document is to assist practitioners in achieving this objective.

^{1 &}lt;u>Iowa Medical Society and Iowa Society of Anesthesiologists v. Iowa Board of Nursing</u> 831 N.W.2d 826 (Iowa 2013) Iowa Supreme Court refuses to find that the *ACR Technical Standard for Management of the Use of Radiation in Fluoroscopic Procedures* (Revised 2008) sets a national standard for who may perform fluoroscopic procedures in light of the standard's stated purpose that ACR standards are educational tools and not intended to establish a legal standard of care. See also, <u>Stanley v. McCarver</u>, 63 P.3d 1076 (Ariz. App. 2003) where in a concurring opinion the Court stated that "published standards or guidelines of specialty medical organizations are useful in determining the duty owed or the standard of care applicable in a given situation" even though ACR standards themselves do not establish the standard of care.

I. INTRODUCTION

Image-guided core-needle biopsy (CNB) has become the procedure of choice for most image-detected breast lesions requiring tissue diagnosis. Its advantages over surgical biopsy are well recognized, including less scarring, fewer complications, faster recovery, lower cost, and similar accuracy [1-9].

Percutaneous biopsy techniques have decreased the number of benign surgical biopsies generated from breast imaging programs and have decreased the number of surgical procedures needed to treat breast cancer [3,5-7]. Therefore, minimally invasive biopsy is preferable to open surgical biopsy for diagnosing breast lesions and is associated with low complication rates [10]. High-quality breast imaging evaluation is necessary to detect early or subtle breast lesions as well as to accurately target these lesions for image-guided biopsy. Several imaging modalities are commonly available and in clinical use for image-guided breast interventions, including stereotactic guidance, ultrasound (US), and magnetic resonance imaging (MRI). The choice of guidance technique will depend on lesion visualization and accessibility, availability of the imaging modality, efficiency, safety, patient comfort, and the practitioner's experience [1].

Stereotactic guidance enables percutaneous placement of a needle within the breast to sample mammographically detected suspicious breast lesions. Successful use of stereotactic-guided breast interventional procedures relies on high-quality imaging, expertise in breast imaging feature analysis, experience in stereotactic-guided techniques for accurate lesion targeting and sampling, and effective methods of obtaining tissue for analysis [11-14]. Digital breast tomosynthesis (DBT) may be used with or without stereotactic guidance as another biopsy technique, either for findings visible only on DBT, or if preferred in certain cases over stereotactic guidance for mammographically visible findings, including calcifications, asymmetries, and especially architectural distortion. The imaging features and the histopathologic interpretations should be assessed for concordance by the physician performing the biopsy, and records should be kept to document results and patient management recommendations [1].

II. INDICATIONS AND CONTRAINDICATIONS

A. Indications

Stereotactic and/or DBT-guided breast intervention is suitable for most mammographically depicted lesions, including microcalcifications, masses, asymmetries, and architectural distortions. DBT guidance may be used for findings that are amenable to mammographic stereotactic technique. For lesions seen only or better on DBT than on 2-D mammography, DBT-guided percutaneous biopsy is preferred if available [15-21]. In some cases, a combination of tomosynthesis and stereotactic guidance may be optimal. Please refer to ACR Practice Parameter for the Performance of Digital Breast Tomosynthesis (DBT) [22].

Indications for stereotactic and DBT-guided breast intervention include, but are not limited to, the following:

- 1. Biopsy for primary diagnosis (see Appendix) of:
 - a. Lesions that are assessed as highly suggestive of malignancy in the Breast Imaging Reporting and Data System, Breast Imaging Atlas (BI-RADS®) Category 5 [23]
 - b. Lesions that are assessed as suspicious abnormalities (BI-RADS® Category 4)
 - c. Lesions that are assessed as probably benign (BI-RADS® Category 3) when there are valid clinical indications for biopsy or when short-interval imaging follow-up would be difficult or unreasonable (eg, if the patient has a synchronous known breast cancer, is awaiting organ transplantation, or plans to become pregnant in immediate future, etc) [24-27]
 - d. Multiple suspicious lesions, particularly in a multifocal or multicentric distribution, to facilitate treatment planning
 - e. Lesions seen on mammography that correlate with suspicious areas of enhancement present on contrastenhanced breast MRI

2. Repeat biopsy

Repeat stereotactic or DBT-guided percutaneous sampling is an alternative to surgical biopsy in cases in which initial core biopsy results are nondiagnostic or discordant with imaging findings [1,28,29].

3. Presurgical localization

Stereotactic- or DBT-guided localization may be used as an alternative to standard mammographic localization for mammographically identifiable lesions prior to surgical procedures [30]. Devices that may be placed using these guidance methods include wires and other localizing devices.

B. Contraindications

Inability to revisualize the target or breast lesion stereotactically at the time of the biopsy is a contraindication to stereotactic-guided breast intervention. Prior to the procedure, the patient should be asked about allergies, including metal allergies for clip placement. Patients may be asked whether they use medications, such as aspirin or other platelet inhibitors, anticoagulants, or other agents known to impact bleeding times, and whether they have a history of a bleeding diathesis. However, a report suggests that it is safe to proceed with biopsy when patients are anticoagulated [31]. Decisions regarding postponement or cancellation of a procedure or temporary cessation of anticoagulants can be made on a case-by-case basis at a programmatic level. The patient's weight (for prone table), compressed breast thickness, and ability to remain in the position required for the procedure also should be assessed in determining the appropriateness of the procedure for that patient.

III. QUALIFICATIONS AND RESPONSIBILITIES OF PERSONNEL

A. Physician

Stereotactic-guided breast biopsy procedures should be performed by physicians who meet the "Physician Qualifications for Stereotactic Breast Biopsy" [32,33]. Stereotactic breast biopsies may be performed in either collaborative or independent settings.² Interpretative experience in screening and diagnostic mammography is essential for those performing stereotatic- or DBT-guided breast procedures. DBT-guided breast procedures should be performed only by physicians who have completed the FDA mandated 8 hours of DBT training. Please refer to ACR Practice Parameter for the Performance of Digital Breast Tomosynthesis (DBT) [22].

1. Initial qualifications

Training in mammographic image interpretation, medical physics, and specific hands-on training in the performance of stereotactic biopsy are imperative for successful performance of this procedure.

The initial qualifications as outlined for <u>Stereotactic Breast Biopsy Accreditation Program Requirements</u> provide this foundation [33].

2. Maintenance of competence

The physician should perform a sufficient number of procedures to maintain their skills. Continued competence should depend on participation in a quality control program as laid out under Section VIII in this practice parameter.

3. Continuing medical education (CME)

²The following definitions are taken from the ACR Stereotactic Breast Biopsy Accreditation Program Requirements: A collaborative setting is one in which both radiologists and surgeons (or other physicians) conduct stereotactic breast biopsy procedures. An independent setting is one in which either radiologists or other physicians (typically surgeons) conduct stereotactic breast biopsies.

The physician's continuing education should be in accordance with the <u>ACR Practice Parameter for Continuing Medical Education (CME)</u> [34].

4. Responsibilities for assessment of concordance

The physician who performs the procedure (either the radiologist or, in the collaborative setting, the surgeon) is responsible for determining sample adequacy. The performing physician or, if unavailable, their qualified designated physician, is responsible for obtaining histopathologic results and determining concordance [1,28-30,35]. These results should be communicated to the referring physician and/or to the patient, as appropriate.

B. Qualified Medical Physicist

See the ACR-AAPM Technical Standard for Diagnostic Medical Physics Performance Monitoring of Stereotactic/Tomosynthesis-Guided Breast Biopsy Systems.

C. Radiologic Technologist

1. Initial qualifications

Radiologic technologists should meet the qualifications specified in the <u>ACR Practice Parameter for the Performance of Screening and Diagnostic Mammography</u> [36]. Radiologic technologists should also have documented Category A continuing education units in stereotactic-guided breast intervention and must have participated in hands-on procedures under the guidance of a qualified physician or radiologist technologist [33]. For DBT-guided interventions, technologists also should have documented DBT training.

2. Maintenance of competence

Radiologic technologists should participate in stereotactic-guided breast interventions [33].

3. Continuing education (CE)

Radiologic technologists should be in compliance with the continuing education requirements of their certifying organization for the imaging modality for which they perform services [33].

IV. SPECIFICATIONS OF THE PROCEDURE

The written or electronic request for stereotactic/tomosynthesis-guided examination of the breast should provide sufficient information to demonstrate the medical necessity of the examination and allow for the proper performance and interpretation of the examination.

Documentation that satisfies medical necessity includes 1) signs and symptoms and/or 2) relevant history (including known diagnoses). The provision of additional information regarding the specific reason for the examination or a provisional diagnosis would be helpful and may at times be needed to allow for the proper performance and interpretation of the examination.

The request for the examination must be originated by a physician or other appropriately licensed health care provider. The accompanying clinical information should be provided by a physician or other appropriately licensed health care provider familiar with the patient's clinical problem or question and consistent with the state scope of practice requirements. (ACR Resolution 35, adopted in 2006 – revised in 2016, Resolution 12-b)

A. Prior to the Procedure

The decision to perform a stereotactic- or DBT-guided breast interventional procedure should be made by a physician who is qualified underMammography Quality Standards Act (MQSA) and only after adequate imaging evaluation, including orthogonal views, of the breast is performed. In some cases, it may be preferred to employ a combination of stereotactic and DBT guidance for tissue sampling. Appropriate documentation stating the use of stereotactic guidance, DBT guidance, or both, should be made.

Benefits, limitations, and risks of the procedure as well as alternative procedures should be discussed with the patient. Informed consent should be obtained and documented [1].

Adherence to the Joint Commission's Universal Protocol for Preventing Wrong Site, Wrong Procedure, Wrong Person SurgeryTM is required for procedures in nonoperating room settings (see http://www.jointcommission.org/standards_information/up.aspx for more information).

The organization should have processes and systems in place for reconciling differences in staff responses during the time-out.

B. Procedure Technique

Scout imaging is performed to confirm that the targeted lesion lies within the accessible area. The physician performing the procedure may decide on the best approach utilizing either stereotactic guidance, DBT guidance, or a combination of the two techniques, based on the ability to see the lesion and the needle during the biopsy. Prior to the procedure, the physician should be able to identify the significant lesion(s) on mammography (or DBT) so that the correct area of the breast is localized or biopsied. This is particularly important when small field-of-view imaging equipment is used. Lesion targeting should be performed by the physician performing and/or supervising the procedure.

The breast, the field in which the procedure is to be performed, and the physician performing the procedure should be prepared in conformity with the principles of infection control.

Documentation of appropriate needle positioning for sampling or localization should be obtained as part of the medical record, usually consisting of paired prefire stereotactic images or DBT image showing the device in the breast approaching the target. Postfire imaging usually is obtained at the discretion of the proceduralist. (If the device is placed in nonfire or not in fire mode, paired stereotactic images or DBT image with the needle in its final prebiopsy position should be obtained.)

When the biopsy is performed for microcalcifications, specimen radiograph with magnification should be obtained to verify that the microcalcifications have been adequately sampled [1,35,37] prior to needle removal.

Placement of a tissue marker after biopsy is recommended, especially if a lesion may be difficult to see after the biopsy (eg, due to complete target removal or obscuration by postbiopsy change), for confirmation that the proper lesion has been sampled or if neoadjuvant chemotherapy is contemplated. When multiple lesions are present and biopsy of >1 suspicious lesion is performed, placement of markers with different characteristics should be considered.

To minimize hematoma formation, the skin entry site and the region of needle sampling should be adequately compressed until hemostasis is achieved.

Postprocedure mammography should be performed in 2 orthogonal views to document tissue marker position, and the report should state the position relative to the biopsy site. If the procedure is performed for a DBT-only visible finding, then postprocedure images should include DBT images.

V. DOCUMENTATION

Reporting should be in accordance with the <u>ACR Practice Parameter for Communication of Diagnostic Imaging Findings</u> [38].

Permanent records of stereotactic- and DBT-guided breast interventions should be documented in retrievable image storage format.

- A. Image labeling should include permanent identification containing the following:
 - 1. Patient's first and last names
 - 2. Identifying number and/or date of birth
 - 3. Examination date
 - 4. Facility name and location
 - 5. Designation of left or right breast
 - 6. Annotation of mammographic view (eg, craniocaudal, mediolateral oblique (MLO), 90° mediolateral [ML])
 - 7. Technologist's identification number or initials

Physician identification may be included on the permanent image record.

- B. The physician's report of stereotactic-guided breast intervention procedures should document the following:
 - 1. Procedure performed
 - 2. Designation of left or right breast
 - 3. Description and location of the lesion
 - 4. Informed consent is obtained
 - 5. Safety time-out having been performed
 - 6. Approach used
 - 7. Type and amount of local anesthesia
 - 8. Skin incision, if made
 - 9. Needle gauge and device type (spring-loaded, vacuum-assisted, etc)
 - 10. Number of specimen cores or samples acquired, if applicable
 - 11. Specimen images, if performed, and findings
 - 12. Use of stereotactic guidance, DBT guidance, or both
 - 13. Tissue marker type/shape, if placed
 - 14. Complications and treatment, if any
 - 15. Postprocedure mammography, if obtained, describing location of tissue marker with respect to the biopsied lesion
 - 16. Other information may include presence or absence of residual target calcifications or mammographic abnormality for future localization and follow-up purposes.
- C. Postprocedure patient follow-up should consist of the following:
 - 1. Documentation of any delayed complications and treatment administered
 - 2. Determination of concordance of pathology results with imaging findings by the physician who performed the procedure or the designated physician. When discordant, biopsy should be repeated by image-guided percutaneous method or surgical excision [1,28,29].
 - 3. Recommendations based on tissue sampling results, imaging information, and concordance analysis. Surgical consultation usually is recommended for high-risk lesions known to be subject to upgrade to malignancy at excision. These lesions include atypical ductal hyperplasia, flat epithelial atypia, lobular neoplasia (atypical lobular hyperplasia and lobular carcinoma in situ), radial scar, complex sclerosing lesion, phyllodes tumor, and, to a lesser degree, papilloma [39-51]. However, controversies exist regarding

- high-risk lesions, and care should be individualized when appropriate [52,53]. For malignant results, patients usually are referred to a surgeon or oncologist for consultation.
- 4. Record of communications with the patient and/or referring physician
- D. Retention of the procedure images, including specimen images if obtained, should be consistent with the facility's policies for retention of mammograms and in compliance with federal and state regulations.

VI. EQUIPMENT SPECIFICATIONS

Radiographic equipment used for stereotactic- and DBT-guided breast intervention procedures includes prone and add-on systems. The equipment should be calibrated by the manufacturer, and the medical physicist should complete verification of calibration and acceptance testing upon installation [54].

Several needle biopsy devices are available for stereotactic-guided procedures, including automated core needles, vacuum-assisted devices, and other tissue biopsy systems. The choice of biopsy device depends on the type of lesion as well as the operator's experience. However, vacuum-assisted devices of 11 gauge and larger have been shown to be most effective in the performance of stereotactic biopsy for microcalcifications [55].

VII. EQUIPMENT QUALITY CONTROL

Refer to ACR stereotactic breast biopsy quality control manual [54].

VIII. QUALITY CONTROL AND IMPROVEMENT, SAFETY, INFECTION CONTROL, AND PATIENT EDUCATION

Policies and procedures related to quality, patient education, infection control, and safety should be developed and implemented in accordance with the ACR Policy on Quality Control and Improvement, Safety, Infection Control, and Patient Education appearing under the heading ACR Position Statement on Quality Control and Improvement, Safety, Infection Control and Patient Education on the ACR website (https://www.acr.org/Advocacy-and-Economics/ACR-Position-Statements/Quality-Control-and-Improvement).

A documented quality control program with procedure manuals and records should be maintained for stereotactic-guided breast interventions. Imaging findings and pathologic interpretations should be correlated. Results of stereotactic-guided breast interventions should be monitored.

The following records should be maintained for the facility, practice, and individual physicians:

- Total number of procedures
- Total number of cancers found
- Total number of benign lesions
- Total number of stereotactic biopsies needing repeat biopsy, categorized by reason and biopsy type:

Reason for Repeat Biopsy	Data
Insufficient sample	 Total number of cases Number with repeat biopsy Final pathology results
Discordance	 Total number of cases Number with repeat biopsy Final pathology results
High-risk lesions	 Total number of cases Number with repeat biopsy Final pathology results

Imaging findings and pathologic interpretation should be correlated by the physician who performs the biopsy or the qualified physician designee. Postbiopsy patient follow-up may be performed per radiologist discretion (in some cases at 6 or 12 months, for example) to detect and record any false-negative results.

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APPENDIX

ACR BI-RADS® ATLAS 5th Edition (BREAST IMAGING REPORTING AND DATA SYSTEM 2013 [23] (BI-RADS® Category 5)

Assessment	Management	Likelihood of Cancer
Category 0: Incomplete –	Recall for additional	N/A
need additional imaging	imaging	
evaluation		
Category 1: Negative	Routine screening	Essentially 0% likelihood of
		malignancy
Category 2: Benign	Routine screening	Essentially 0% likelihood of
		malignancy

Category 3: Probably benign	Short-interval (6 month)	>0% but ≤2% likelihood of
	follow-up or continued	malignancy
	surveillance	
Category 4: Suspicious	Tissue diagnosis	>2% but <95% likelihood of
		malignancy
Category 4A: Low		>2% to ≤10% likelihood of
suspicion for malignancy		malignancy
Category 4B: Moderate		>10% to ≤50% likelihood of
suspicion for malignancy		malignancy
Category 4C: <i>High</i>		>50% to <95% likelihood of
suspicion for malignancy		malignancy
Category 5: Highly	Tissue diagnosis	≥95% likelihood of
suggestive of malignancy		malignancy
Category 6: Known biopsy-	Surgical excision when	N/A
proven malignancy	clinically appropriate	

^{*}Practice parameters and technical standards are published annually with an effective date of October 1 in the year in which amended, revised or approved by the ACR Council. For practice parameters and technical standards published before 1999, the effective date was January 1 following the year in which the practice parameter or technical standard was amended, revised, or approved by the ACR Council.

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