The American College of Radiology, with more than 30,000 members, is the principal organization of radiologists, radiation oncologists, and clinical medical physicists in the United States. The College is a nonprofit professional society whose primary purposes are to advance the science of radiology, improve radiologic services to the patient, study the socioeconomic aspects of the practice of radiology, and encourage continuing education for radiologists, radiation oncologists, medical physicists, and persons practicing in allied professional fields.

The American College of Radiology will periodically define new practice parameters and technical standards for radiologic practice to help advance the science of radiology and to improve the quality of service to patients throughout the United States. Existing practice parameters and technical standards will be reviewed for revision or renewal, as appropriate, on their fifth anniversary or sooner, if indicated.

Each practice parameter and technical standard, representing a policy statement by the College, has undergone a thorough consensus process in which it has been subjected to extensive review and approval. The practice parameters and technical standards recognize that the safe and effective use of diagnostic and therapeutic radiology requires specific training, skills, and techniques, as described in each document. Reproduction or modification of the published practice parameter and technical standard by those entities not providing these services is not authorized.

Revised 2021 (Resolution 7)*

ACR-SIR-SPR PRACTICE PARAMETER ON INFORMED CONSENT FOR IMAGE-GUIDED PROCEDURES

PREAMBLE

This document is an educational tool designed to assist practitioners in providing appropriate radiologic care for patients. Practice Parameters and Technical Standards are not inflexible rules or requirements of practice and are not intended, nor should they be used, to establish a legal standard of care¹. For these reasons and those set forth below, the American College of Radiology and our collaborating medical specialty societies caution against the use of these documents in litigation in which the clinical decisions of a practitioner are called into question.

The ultimate judgment regarding the propriety of any specific procedure or course of action must be made by the practitioner in light of all the circumstances presented. Thus, an approach that differs from the guidance in this document, standing alone, does not necessarily imply that the approach was below the standard of care. To the contrary, a conscientious practitioner may responsibly adopt a course of action different from that set forth in this document when, in the reasonable judgment of the practitioner, such course of action is indicated by the condition of the patient, limitations of available resources, or advances in knowledge or technology subsequent to publication of this document. However, a practitioner who employs an approach substantially different from the guidance in this document is advised to document in the patient record information sufficient to explain the approach taken.

The practice of medicine involves not only the science, but also the art of dealing with the prevention, diagnosis, alleviation, and treatment of disease. The variety and complexity of human conditions make it impossible to always reach the most appropriate diagnosis or to predict with certainty a particular response to treatment. Therefore, it should be recognized that adherence to the guidance in this document will not assure an accurate diagnosis or a successful outcome. All that should be expected is that the practitioner will follow a reasonable course of action based on current knowledge, available resources, and the needs of the patient to deliver effective and safe medical care. The sole purpose of this document is to assist practitioners in achieving this objective.

¹ <u>Iowa Medical Society and Iowa Society of Anesthesiologists v. Iowa Board of Nursing</u>, 831 N.W.2d 826 (Iowa 2013) Iowa Supreme Court refuses to find that the *ACR Technical Standard for Management of the Use of Radiation in Fluoroscopic Procedures* (Revised 2008) sets a national standard for who may perform fluoroscopic procedures in light of the standard's stated purpose that ACR standards are educational tools and not intended to establish a legal standard of care. See also, <u>Stanley v. McCarver</u>, 63 P.3d 1076 (Ariz. App. 2003) where in a concurring opinion the Court stated that "published standards or guidelines of specialty medical organizations are useful in determining the duty owed or the standard of care applicable in a given situation" even though ACR standards themselves do not establish the standard of care.

I. INTRODUCTION

This practice parameter was revised collaboratively by the American College of Radiology (ACR), the Society of Interventional Radiology (SIR), and the Society for Pediatric Radiology (SPR).

The informed consent process for a procedure must include the full participation of both the patient (and/or patient's legal representative) and physician or nonphysician provider to facilitate true shared decision making [1,2]. Successful open dialogue between physician or nonphysician provider and patient may be challenging for several reasons, including, but not limited to, cognitive impairments, language barriers, stress, disparate health literacy and the complexity of the procedure, and other factors unique to each clinical encounter [3].

Prudent and ethical medical practice requires close communication between the patient and the physician or nonphysician provider. If the patient is unable to provide consent, the patient's legal representative or, in the case of a minor, the patient's parent(s) or legal guardian, represents the patient in the consent process. The patient or representative and, when appropriate, the patient's family, must have every opportunity to understand the proposed treatment or procedure and its reasonable risks, benefits, and alternatives; to have all questions answered; and to consent to the treatment and procedure. The degree of disclosure required for a valid consent varies, but there are 2 generally recognized legal standards. The first is measured by what a reasonable physician or nonphysician provider in their professional judgment believes is appropriate to disclose to the patient. The degree of disclosure depends on perceptions of the physician or nonphysician provider in each case. The second legal standard is based on what a reasonable patient would want to know in the same or similar circumstances. It is important for each provider to understand the applicable state requirements and exercise appropriate disclosure based on those standards.

Informed consent is a process of ongoing dialogue/discussion and is not the simple act of signing a formal document. Consent, even when granted by the patient in writing, may reasonably be withdrawn at any time, hence the need for ongoing dialogue. Consent must be documented in the medical record, and consent forms may serve to document the physician's or nonphysician provider's discussion with the patient. These forms usually contain the patient's or representative's signature authenticating consent to the treatments or procedures that will be performed. Another acceptable method of documentation is a physician or nonphysician provider note in the medical record indicating that the discussion took place and that the consent of the patient was obtained; however, this method is less defensible from a legal standpoint because it is only a one-party affirmation of that consent discussion. Informed consent with appropriate documentation must follow institutional policies and procedures and comply with applicable state law.

When obtaining informed consent, the consent process requires discussion of the procedure between the physician or other nonphysician provider and the patient. Asking the patient directly about their expectations of the procedure will contribute to a meaningful dialogue and improved shared decision making and has been shown to increase patient understanding [4]. The same standards apply to obtaining consent from the patient's health care representative or legally appointed guardian (see Section IV.B.6). In the case of a minor, consent should be obtained from the patient's parent(s) or guardian per institutional and/or state guidelines. In some institutions, materials such as videos, mini courses, or pamphlets are used to educate the patient about their condition and the procedure needed, but these do not obviate the necessity for direct physician or nonphysician provider patient discussion. The consent process should include discussion of the anticipated benefits and potential risks of the procedure, as well as reasonable alternatives to the procedure [5]. The patient or representative should have the opportunity to ask questions and discuss the procedure, and all questions should be addressed. The Agency of Healthcare Research and Quality (AHRQ) and the National Quality Forum (NQF) recommend asking the patient to restate in their own words what they were told during informed consent [6]. Known as the "teach-back-method," this step constitutes safe practice and may be especially effective in patients with an unknown level of health literacy. The physician or nonphysician provider performing the procedure has the final responsibility of ensuring that all concerns and questions are addressed satisfactorily. Consent cannot be obtained in a coercive manner.

II. INDICATIONS

Informed consent and appropriate documentation should be obtained for, but not limited to, the following procedures:

- 1. Invasive diagnostic or therapeutic procedures
- 2. Moderate sedation (for further information, see the <u>ACR-SIR Practice Parameter for Minimal and/or</u> <u>Moderate Sedation/Analgesia</u> [7])

Some minor procedures have a documented low incidence of adverse events (eg, intravenous (IV) injection of contrast media). These procedures may be exempted from the need for formal or authenticated informed consent, but the decision not to obtain informed consent in these circumstances should be consistent with state law, institutional policy, departmental policy, and local community practice.

III. QUALIFICATIONS AND RESPONSIBILITIES OF PERSONNEL

A. Qualifications of Personnel

The physician or nonphysician provider who oversees or obtains informed consent should be familiar with the elements of informed consent as well as with all aspects of the procedure being proposed, the risks of the procedure, the expected benefits of the procedure, and reasonable alternatives to the proposed procedure.

B. Responsibilities of Personnel

The physician or nonphysician provider performing the procedure or other qualified personnel assisting them should talk with the patient or representative, explain the procedure, answer all questions, and arrange for appropriate documentation of informed consent, consistent with state law, institutional policy, departmental policy, and local community practice regarding specific requirements. This documentation might take the form of an executed consent form, videotape, or a note in the patient's medical record.

In institutions in which department policy or legal advice based on state law requires informed consent for IV injections of contrast agents, ACR policy approves the obtaining of informed consent and injection of the contrast medium by qualified, credentialed technologists and nurses. For more information, see the <u>ACR–SPR Practice</u> Parameter for the Use of Intravascular Contrast Media [8].

IV. SPECIFICATIONS AND DOCUMENTATION

Informed consent and appropriate documentation must be obtained prior to the initiation of any procedure that is likely to expose the patient to any significant potential complications, except in emergency situations, as described in Section IV.C.

A. Radiation Exposure

When obtaining informed consent for image-guided procedures that may be associated with higher levels of radiation (see Appendix A), an explanation of the likelihood and characteristics of deterministic injury should be included in the consent discussion prior to the procedure [9-12]. Radiation dose may be determined and recorded in many different ways [13-15]. The <u>ACR-AAPM Technical Standard for Management of the Use of Radiation in Fluoroscopic Procedures</u> [16], the SIR-CIRSE Guidelines for patient radiation dose management [17], and the National Council on Radiation Protection & Measurements (NCRP) [11,12,18] indicate that when a cumulative air kerma at the reference point exceeds 5 Gy, special follow-up precautions should be instituted. Therefore, when a given procedures), a discussion of deterministic injury should occur as part of the consent process. Special consideration is required for the pregnant patient regarding fetal exposure [19-21], and it should generate a discussion of potential effects of radiation exposure to the fetus as part of the consent process.

B. Protocol for Informed Consent for Elective Procedures

Patient-centered informed consent is accomplished by discussing the procedure or treatment in the context of the patient's culture or values and desired outcome [2]. If a language barrier is present, consider using an interpreter or written translation of documents to ensure the patient is able to understand the information provided and consent to the proposed procedure. Questions and concerns should be elicited, and time allowed for discussion with family members. Consent must be voluntarily provided, and the patient has the right to refuse to consent or withdraw consent. –

- 1. Before the proposed procedure is performed, the following will be explained to the patient or, if the patient is unable to provide consent, to the patient's legal representative:
 - a. The purpose and nature of the procedure or treatment
 - b. The method by which the procedure or treatment will be performed
 - c. The risks, complications, and expected benefits or effects of such procedure or treatment
 - d. The risk of not accepting the procedure or treatment
 - e. Any reasonable alternatives to the procedure or treatment and their most likely risks and benefits
- 2. After the above items are explained and the physician or nonphysician provider is satisfied that the patient understands the procedure and its possible consequences, the informed consent is executed and appropriately documented. This is most commonly done by having the patient or representative sign a consent form, along with the physician or nonphysician provider and a witness, when required. Should a translator be used, it should be documented per institutional policy.

The identity of the operator(s) performing the procedure must appear on the consent form prior to the signature by the patient. In rare cases (typically consent from health proxy in advance of a procedure) in which the operator who will perform the procedure is not known, this should be indicated. When explaining this information to a patient, a physician or nonphysician provider should be attentive to factors or circumstances that could impair consent, impact the patient's ability to comprehend, or suggest a lack of decision-making capacity.

- 3. Documentation: A copy of the consent form(s) or videotape, if used, should be placed in the medical record. If a video, brochure, or other standardized materials was used in the consent process, this can be acknowledged in the documentation. In all other situations, a note should appear in the medical record that a discussion was held with the patient and that informed consent was obtained. The note should also include the date and time of the discussion, the content of the discussion, and an evaluation of the patient's understanding and response to information provided. A copy of any written informational materials given to the patient may also be included in the medical record.
- 4. Because the patient must be able to understand the risks at the time consent is given, medications that affect the sensorium should be kept at a minimum, and ideally not administered within 4 hours prior to the consent process. Chronic pain medications are less likely to affect the sensorium. No patient should be deprived of adequate pain control for the purpose of obtaining consent.
- 5. A physician or nonphysician provider should follow state law applicable to obtaining consent from minors.
- 6. Telephone/video conferencing/telehealth consent: If consent is sought from the patient, patient's health care representative, legally appointed guardian, or family member who cannot be physically present to sign the consent form before the procedure, then informed consent should include documentation of methodology and proper witnessing as stated in state law, institutional policy, departmental policy, and local community practice.
- 7. For procedures that may need to be repeated over the course of the patient's health condition, consent to perform the procedure "as medically indicated" during a specified period of time might be appropriate if permitted by, and done in accordance with, institutional policies and procedures and applicable law.

C. Protocol for Informed Consent for Emergency Procedures

This protocol defines the scope of the emergency exception to the informed consent requirement when a patient needs immediate medical care but is unable to give informed consent.

- 1. When a delay in treatment would jeopardize the health of a patient and the patient is unable to give informed consent and there is no legal representative available, an exception to the requirement for obtaining informed consent from the patient applies.
- If the patient is unable to consent and has a legally authorized representative who is available, consent should be obtained from the representative.
- 2. When informed consent cannot be obtained from the patient or from their legally authorized representative, the physician-led team should determine the immediacy of the need for treatment.
 - a. A physician may provide any treatment or perform any procedure immediately required to prevent serious disability or death or to alleviate great pain and suffering.
 - b. During the course of an operation or a procedure, a physician may perform any procedure that becomes necessary because of a condition discovered or arising during the operation or the procedure that presents an immediate threat to the life or the health of the patient.
- 3. The emergency exception to the requirement of informed consent does not extend to a conscious adult patient with decision-making capacity who is otherwise able to give informed consent, who has refused to consent to a treatment or a procedure.
- 4. The need for immediate treatment is documented in the patient's medical record. Documentation includes all information establishing the nature, immediacy, and magnitude of the problem and the impossibility of obtaining consent under the circumstances. Any consulting physicians should enter their findings and recommendations in the record. All notes should show the date and time that the determinations were made. In addition, documentation should comply with all relevant institutional and/or government guidelines.

ACKNOWLEDGEMENTS

This practice parameter was revised according to the process described under the heading *The Process for Developing ACR Practice Parameters and Technical Standards* on the ACR website (https://www.acr.org/Clinical-Resources/Practice-Parameters-and-Technical-Standards) by the Committee on Practice Parameters – Interventional and Cardiovascular Radiology of the ACR Commission on Interventional and Cardiovascular Radiology, the Committee on Practice Parameters – General, Small, and Rural Practice of the ACR Commission on General, Small, and Rural Practice, and the Committee on Practice Parameters – Pediatric Radiology of the ACR Commission on Pediatric Radiology, in collaboration with the SIR and the SPR.

Writing Committee - members represent their societies in the initial and final revision of this practice parameter

<u>ACR</u> Elizabeth Ann Ignacio, MD, Chair Claire Kaufman, MD Matthew P. Lungren, MD, MPH Derrick Siebert, MD <u>SIR</u> Lynn A. Brody, MD, FSIR A. Kyle Jones, PhD Michael S. Stecker, MD, FACR, FSIR

<u>SPR</u> Ranjith Vellody, MD Jonathon Weber, MD

<u>Committee on Practice Parameters – Interventional and Cardiovascular Radiology</u> (ACR Committee responsible for sponsoring the draft through the process)

Drew M. Caplin, MD, Chair Chaitanya Ahuja, MBBS Margaret Hsin-Shung Lee, MD, FACR Mary Lee Jensen, MD, FACR

<u>Committee on Practice Parameters – Interventional and Cardiovascular Radiology</u> (ACR Committee responsible for sponsoring the draft through the process)

Douglas M. Coldwell, MD, PhD Mandeep S. Dagli, MD Kevin W. Dickey, MD, FACR Meredith J. Englander, MD C. Matthew Hawkins, MD Claire Kaufman, MD Dennis Kay, MD, FACR Kennith F. Layton, MD, FACR M. Victoria Marx, MD Christopher D Yeisley, MD

<u>Committee on Practice Parameters – General, Small, Emergency and/or Rural Practices</u> (ACR Committee responsible for sponsoring the draft through the process)

Candice Johnstone, MD, Chair Lynn Broderick, MD, FACR Justin P. Dodge, MD Brian D. Gale, MD, MBA Rachel Gerson, MD, Carolyn A. Haerr, MD Charles E. Johnson, MD Steven E. Liston, MD, MBA, FACR Derrick Siebert, MD Samir S. Shah, MD Jennifer L. Tomich, MD

<u>Committee on Practice Parameters – Pediatric Radiology</u> (ACR Committee responsible for sponsoring the draft through the process)

Terry L. Levin, MD, FACR, Chair John B. Amodio, MD, FACR Jesse Berman, MD Tara M. Catanzano, MB, BCh Harris L. Cohen, MD, FACR Kassa Darge, MD, PhD Dorothy L. Gilbertson-Dahdal, MD Lauren P. Golding, MD Safwan S. Halabi, MD Jason Higgins, DO Jane Sun Kim, MD Jennifer A Knight, MD Jessica Kurian, MD Matthew P. Lungren, MD, MPH Helen R. Nadel, MD Erica Poletto, MD Richard B. Towbin, MD, FACR Andrew T. Trout, MD Esben S. Vogelius, MD

Alan H. Matsumoto, MD, FACR, Chair, Commission on Interventional and Cardiovascular Radiology Robert S. Pyatt, Jr., MD, FACR, Chair, Commission on General, Small, Emergency and/or Rural Practice Richard A. Barth, MD, FACR, Chair, Commission on Pediatric Radiology David B. Larson, MD, MBA, Chair, Commission on Quality and Safety Mary S. Newell, MD, FACR, Chair, Committee on Practice Parameters and Technical Standards

Comments Reconciliation Committee C. Matthew Hawkins, MD, Chair Richard B. Gunderman, MD, PhD, FACR, Co-Chair Richard A. Barth, MD, FACR Lynn A. Brody, MD, FSIR Drew M. Caplin, MD Richard Duszak Jr., MD, FACR Elizabeth A. Ignacio, MD Candice Johnstone, MD A. Kyle Jones, PhD Claire Kaufman, MD Amy L. Kotsenas, MD, FACR David B. Larson, MD, MBA Terry L. Levin, MD, FACR

Matthew P. Lungren, MD, MPH Alan H. Matsumoto, MD, FACR Mary S. Newell, MD, FACR Robert S. Pyatt, Jr., MD, FACR Adam V. Ratner, MD, FACR Erick M. Remer, MD, FACR Michael I. Rothman, MD, FACR Derrick Siebert, MD Michael S. Stecker, MD, FACR, FSIR Ranjith Vellody, MD Jonathon Weber, MD Kevin Wong, DO

REFERENCES

- 1. Barry MJ, Edgman-Levitan S. Shared decision making--pinnacle of patient-centered care. N Engl J Med 2012;366:780-1.
- 2. Ripley BA, Tiffany D, Lehmann LS, Silverman SG. Improving the Informed Consent Conversation: A Standardized Checklist that Is Patient Centered, Quality Driven, and Legally Sound. J Vasc Interv Radiol 2015;26:1639-46.
- 3. Shoemaker SJ, Brach C, Edwards A, Chitavi SO, Thomas R, Wasserman M. Opportunities to Improve Informed Consent with AHRQ Training Modules. Jt Comm J Qual Patient Saf 2018;44:343-52.
- 4. Hoffmann TC, Del Mar C. Patients' expectations of the benefits and harms of treatments, screening, and tests: a systematic review. JAMA Intern Med 2015;175:274-86.
- 5. Tenenbaum EM. Revitalizing informed consent and protecting patient autonomy : an appeal to abandon objective causation. Oklahoma Law Rev 2012;64:697-758.
- National Quality Forum. Improving patient safety through informed consent for patients with limited health literacy. Available at: <u>http://www.qualityforum.org/Publications/2005/09/Improving_Patient_Safety_Through_Informed_Consent_f</u> or Patients with Limited Health Literacy.aspx. Accessed April 17, 2020.
- American College of Radiology. ACR-SIR practice parameter for sedation/analgesia. Available at: <u>https://www.acr.org/-/media/ACR/Files/Practice-Parameters/Sed-Analgesia.pdf?la=en</u>. Accessed January 9, 2020.
- American College of Radiology. ACR–SPR practice parameter for the use of intravascular contrast media. Available at: <u>https://www.acr.org/-/media/ACR/Files/Practice-Parameters/IVCM.pdf?la=en</u>. Accessed January 9, 2020.
- 9. Miller DL, Balter S, Cole PE, et al. Radiation doses in interventional radiology procedures: the RAD-IR study: part II: skin dose. J Vasc Interv Radiol 2003;14:977-90.
- 10. Miller DL, Balter S, Cole PE, et al. Radiation doses in interventional radiology procedures: the RAD-IR study: part I: overall measures of dose. J Vasc Interv Radiol 2011;23:11-18.
- 11. National Council on Radiation Protection and Measurements. Radiation dose managmeent for fluoroscopicallyguided interventional medical procedures. NCRP Report No. 168. Bethesda, MD; ; 2010.
- 12. National Council on Radiation Protection and Measurements. Outline of administrative policies for quality assurance and peer review of tissue reactions associated with fluoroscopically-guided interventions. NCRP Report No. 11. Bethesda, MD; ; 2014.
- 13. Miller DL, Balter S, Wagner LK, et al. Quality improvement guidelines for recording patient radiation dose in the medical record. J Vasc Interv Radiol 2004;15:423-9.
- 14. American College of Radiology. ACR–AAPM technical standard for medical physics performance monitoring of image-guided radiation therapy (IGRT). Available at: <u>https://www.acr.org/-/media/ACR/Files/Practice-Parameters/IRGT-TS.pdf?la=en</u>. Accessed January 9, 2020.
- 15. American College of Radiology. ACR–ASTRO practice parameter for image-guided radiation therapy (IGRT). Available at: <u>https://www.acr.org/-/media/ACR/Files/Practice-Parameters/IGRT-RO.pdf?la=en</u>. Accessed January 9, 2020.
- 16. American College of Radiology. ACR–AAPM technical standard for management of the use of radiation in fluoroscopic procedures. Available at: <u>https://www.acr.org/-/media/ACR/Files/Practice-Parameters/MgmtFluoroProc.pdf?la=en</u>. Accessed January 9, 2020.
- 17. Stecker MS, Balter S, Towbin RB, et al. Guidelines for patient radiation dose management. J Vasc Interv Radiol 2009;20:S263-73.
- 18. Kwon D, Little MP, Miller DL. Reference air kerma and kerma-area product as estimators of peak skin dose for fluoroscopically guided interventions. Med Phys 2011;38:4196-204.
- 19. Brent RL. Saving lives and changing family histories: appropriate counseling of pregnant women and men and women of reproductive age, concerning the risk of diagnostic radiation exposures during and before pregnancy. Am J Obstet Gynecol 2009;200:4-24.
- 20. Dauer LT, Thornton RH, Miller DL, et al. Radiation management for interventions using fluoroscopic or computed tomographic guidance during pregnancy: a joint guideline of the Society of Interventional Radiology and the Cardiovascular and Interventional Radiological Society of Europe with Endorsement by the Canadian Interventional Radiology Association. J Vasc Interv Radiol 2012;23:19-32.

- 21. American College of Radiology. ACR–SPR practice parameter for imaging pregnant or potentially pregnant adolescents and women with ionizing radiation. Available at: <u>https://www.acr.org/-/media/ACR/Files/Practice-Parameters/Pregnant-Pts.pdf?la=en</u>. Accessed January 9, 2020.
- 22. Balter S, Miller DL, Vano E, et al. A pilot study exploring the possibility of establishing guidance levels in x-ray directed interventional procedures. Med Phys 2008;35:673-80.

APPENDIX A

Procedures that have been associated with substantial radiation dose (Adapted from references [9,10,22])

- Transjugular intrahepatic portosystemic shunt creation
- Embolization (any location, any lesion)
- Stroke therapy
- Biliary drainage
- Visceral angioplasty and/or stent placement
- Stent-graft placement
- Chemoembolization
- Angiography and intervention for gastrointestinal hemorrhage
- Carotid stent placement
- Vertebral augmentation
- Radiofrequency cardiac ablation
- Complex placement of cardiac electrophysiology devices
- Percutaneous coronary intervention

*Practice parameters and technical standards are published annually with an effective date of October 1 in the year in which amended, revised or approved by the ACR Council. For practice parameters and technical standards published before 1999, the effective date was January 1 following the year in which the practice parameter or technical standard was amended, revised, or approved by the ACR Council.

Development Chronology for this Practice Parameter

2001 (Resolution 49) Revised 2006 (Resolution 32) Amended 2007 (Resolution 38) Revised 2011 (Resolution 39) Amended 2014 (Resolution 39) Revised 2016 (Resolution 17) Revised 2021 (Resolution 7)